

Solutions and Expertise in Risk Management



Solutions to: Drive Change
Mitigate Risk and
Achieve Process Excellence

RISK MANAGEMENT SOLUTIONS

At BD CONSULTING GROUP, we know and understand the environmental pressures and challenges in the Financial Services Industry. With over **three decades of hands-on industry experience**, we leverage risk, compliance and business operations expertise to develop **targeted strategic and implementation solutions**. We've worked in and across organizations like yours leading risk, regulatory, compliance business and support organizations to successfully implement risk and compliance programs. We have an end-to-end view of Financial Services organizations – the complexities and the nuances – and understand how the pieces need to fit together to achieve your business success while managing risk and meeting regulatory requirements.

Risk, Control and Compliance

Today's business challenges and stressors require operational excellence and control of all business processes. The BD Consulting Team has been advising and assisting some of the largest financial services institutions by:

- Incorporating **risk and control frameworks** as part of the corporate culture
- Addressing rapidly changing and expanding **regulatory changes and requirements**
- Developing improved **business controls and testing processes** that demonstrate compliance
- Implementing **business and process improvement initiatives** with risk and compliance focus to insure business controls remain intact during times of change
- Developing and **implementing a risk and control strategy** and framework to address a changing regulatory environment
- Assimilating and incorporating risk and control into business operations – **creating cultural change**



Contact us to see how **BDCG**
can support your Risk Management needs

EXPERIENCE

Broad and deep industry experience comes from decades of business and project success

KNOWLEDGE

Skilled consultants with line of business knowledge that engages clients quickly and produces results

PERSPECTIVE

Industry “best practices” perspective developed during 100s of successful implementations

Risk, Control and Compliance Expertise

- **Strategy development**, program assessment, framework build
- Business Process Management (BPM) including **key controls** and process mapping
- Risk/compliance **artifact discovery**; process map linkage
- BPM **gap identification** and mitigation
- Governance, risk and control **workflow automation**
- Key controls **test development** and validation
- Key controls **sampling plan** development, validation and data analytics
- **Business As Usual monitoring**, testing and remediation
- Operations and **testing procedures** development and remediation
- **Test warehouse** and depository build
- **Risk Control Self-Assessment (RCSCA)** process and reporting
- **Issues Management** process and reporting
- **Procedure alignment** to regulatory requirements
- **Change Management** process, controls and reporting
- **Business Continuity** planning and execution
- Subject Matter Experts in challenge areas including **AML, KYC, CRA, Fair Lending...**



Contact us for:

- Industry professionals with recent, deep **risk management experience**
- Management **programs and solutions** to improve risk, control and compliance in your business operations
- Key Control **assessment, development, mapping and implementation**
- **Tools and practices** to enhance organization-wide risk performance